

Minimal classes of graphs of unbounded tree-width and clique-width

Dan Cocks

This talk is based on joint work with Robert Brignall

23rd November 2022

Agenda

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

1. Motivation - algorithmic problems on graphs
2. Types of graph class
3. Tree-width in minor-closed classes
4. Clique-width in dense hereditary classes
5. Tree-width and clique-width in sparse hereditary classes

Algorithmic problems on graphs

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

"The formulation of the problem is often far more essential than its solution."

Albert Einstein

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Example: The seven bridges of Königsberg. Is there a walk through the city that crosses each bridge once and only once? [Euler 1736]

Decision problems defined on a graph

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

Question

For a decision problem defined on a graph which is generally a 'hard' problem, are there types of graph for which the problem becomes 'easy'?

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Only considering problems definable in MSO_1 and MSO_2 logic:

Theorem (Courcelle, 1990)

*For every graph class of **bounded tree-width**, every problem definable in MSO_2 logic can be solved in time linear in the number of vertices of the graph.*

Theorem (Courcelle, Makowsky, Rotics, 2000)

*For every graph class of **bounded clique-width**, every problem definable in MSO_1 logic can be solved in time linear in the number of vertices of the graph.*

(MSO_1 logic more restricted than MSO_2 logic)

Examples: Problem defined on a graph

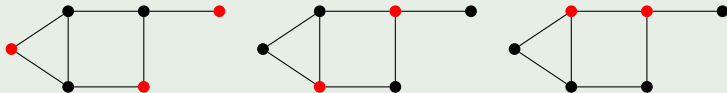
Minimal hereditary classes of graphs of unbounded tree-width and clique-width

Examples of problems defined in MSO_2 / MSO_1 logic:

- MSO_2 Hamiltonicity
- MSO_1 Isomorphism problem
- MSO_1 Dominating set problem...

A dominating set for a graph $G = (V, E)$ is a subset D of the vertices V such that every vertex not in D is adjacent to at least one member of D .

Example (Dominating sets)



Decision problem

Does graph G contain a dominating set of size at most k ?

Challenge

To characterise those graphs at the boundary where hard problems become easy.

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or specifically

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....to identify those graph classes that are obstructions to bounded tree-width or clique-width ('minimal classes').

2. Types of graph class

Graph containment operations I

Graph classes

Graphs are undirected and simple (no loops, or multiple edges).

Four possible graph operations considered:

- Removing a vertex
- Removing an edge
- Suppressing a deg. 2 vertex (opposite to subdivision)
- Contracting an edge

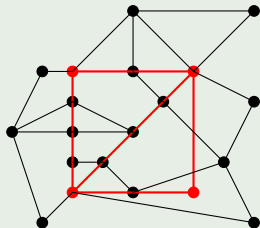
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Example (Vertex deletions, edge deletions, suppressions)



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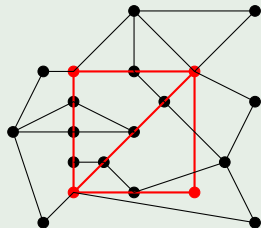
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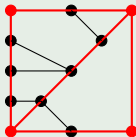
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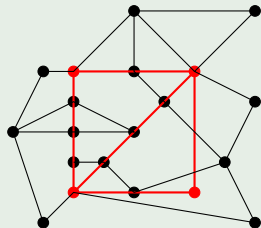
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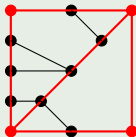
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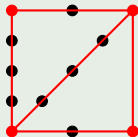
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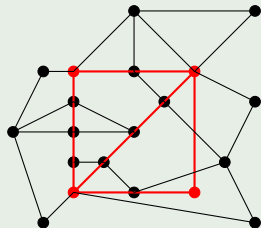
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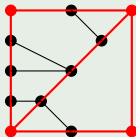
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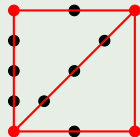
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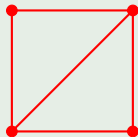
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Graph containment operations II

Graph classes

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- **Contracting an edge**

Graph containment operations II

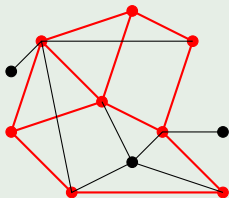
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Example (Contracting edges)



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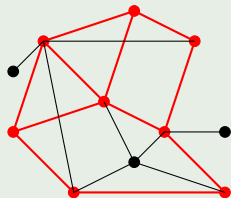
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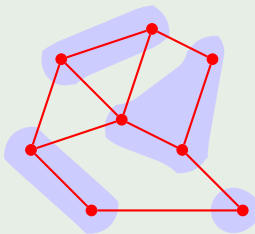
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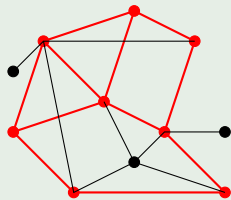
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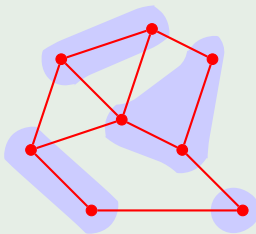
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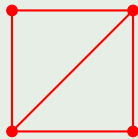
Example (Contracting edges)



A



B



D

Graph ordering and graph classes

Graph classes

Relation	Operations	Downsets	Examples
Induced subgraph ($H \leq_I G$)	vertex removal	Hereditary class	Forests, planar, complete, complete bipartite, bounded degree, line graphs
Minor ($H \leq_M G$)	vertex removal, edge removal, contraction	Minor closed class	Forests, planar

- **Hereditary graph class \mathcal{C}** : if $G \in \mathcal{C}$ and $H \leq_I G$ then $H \in \mathcal{C}$.
- **Minor-closed graph class \mathcal{C}** : if $G \in \mathcal{C}$ and $H \leq_M G$ then $H \in \mathcal{C}$.

Classes defined by forbidden graphs

Graph classes

- A collection of graphs that is hereditary or minor-closed is completely determined once we know a set of minimal elements that are not in the set. We call these a **minimal forbidden set**.

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Theorem (Wagner 1937)

A graph is planar if and only if it contains neither K_5 nor $K_{3,3}$ as a minor.

3. Tree-width in minor-closed classes

Origins of tree-width

Tree-width

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- 1970/80s: Tree-width born. A parameter that measures how 'tree-like' a graph is ['Discovered' several times by Bertelè & Brioschi (1972), Halin (1976) and Robertson & Seymour (1984)].

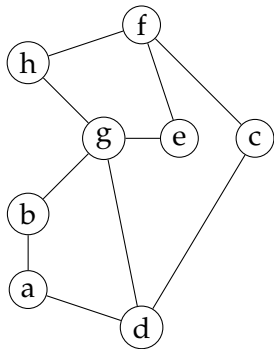
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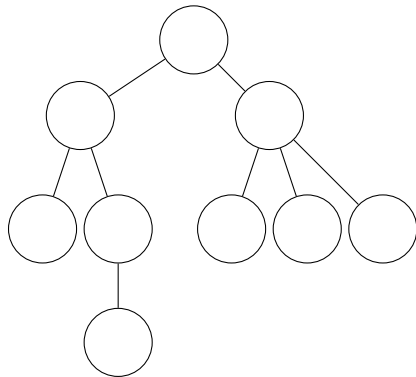
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Tree-decomposition example

Tree-width



Graph G

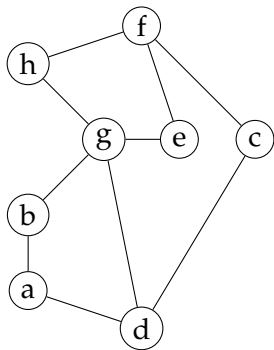


Tree T

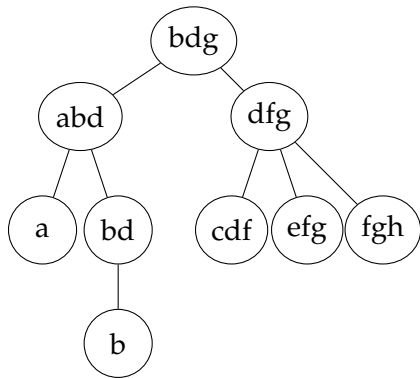
Objective: Put the vertices of graph G into the **bags** of tree T .

Tree-decomposition example

Tree-width



Graph G

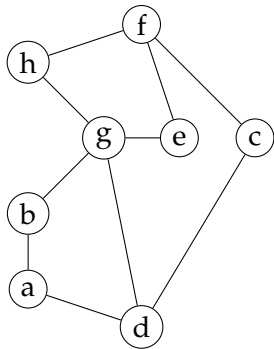


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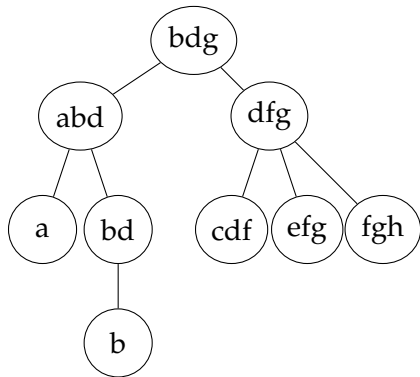
Rule 1 : Every vertex of G must go into at least one bag.

Tree-decomposition example

Tree-width



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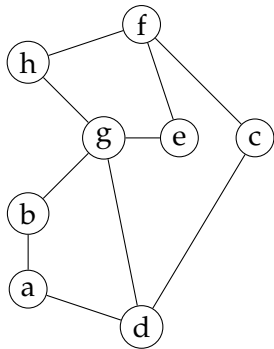


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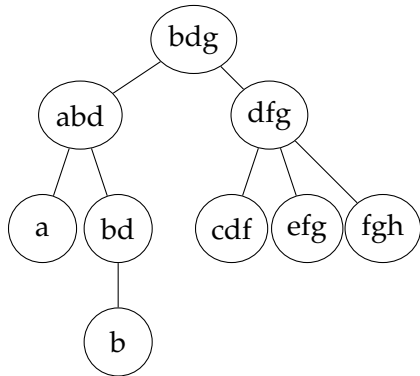
Rule 2 : The endvertices of every edge of G must be together in some bag.

Tree-decomposition example

Tree-width



Graph G

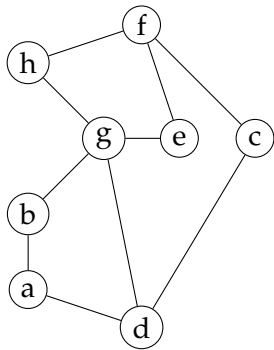


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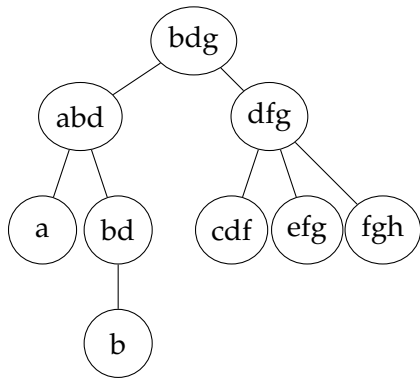
Rule 3 : For every vertex v of G , the bags containing v must be connected in T .

Tree-decomposition example

Tree-width



Graph G



Tree T

The **width** of a tree-decomposition is the maximum bag size minus 1.

Tree-width definition

Tree-width

The **tree-width** $tw(G)$ of G is the least width of any tree-decomposition of G .

Tree-width definition

Tree-width

The **tree-width** $tw(G)$ of G is the least width of any tree-decomposition of G .

- Trees have tree-width 1.
- Series-parallel graphs have tree-width 2.
- K_n has tree-width $n - 1$.
- A graph with 'large enough' average degree contains K_n as a minor.
- If a class \mathcal{C} contains graphs of unbounded average degree (dense) then it has unbounded tree-width.

Neil Robertson and Paul Seymour

The Graph Minor Theorem



Figure: Neil Robertson



Figure: Paul Seymour

Diestel: 'The Graph Minor Theorem dwarfs any other result in graph theory... and can be counted among the deepest theorems that mathematics has to offer.'

1. Excluding a forest (1983)
2. Algorithmic aspects of tree-width (1986)
3. Planar tree-width (1984)
4. Tree-width and well-quasi-ordering (1990)
5. Excluding a planar graph (1986)
6. Disjoint paths across a disc (1986)
7. Disjoint paths on a surface (1988)
8. A Kuratowski theorem for general surfaces (1990)
9. Disjoint crossed paths (1990)
10. Obstructions to tree-decomposition (1991)
11. Circuits on a surface (1994)
12. Distance on a surface (1995)
13. The disjoint paths problem (1995)
14. Extending an embedding (1995)
15. Giant steps (1996)
16. Excluding a non-planar graph (2003)
17. Taming a vortex (1999)
18. Tree-decompositions and well-quasi-ordering (2003)
19. Well-quasi-ordering on a surface (2004)
20. Wagner's Conjecture (2004)
21. Graphs with unique linkages (2009)
22. Irrelevant vertices in linkage problems (2012)
23. Nash-Williams' immersion conjecture (2010)

Robertson and Seymour results

Graph Minors

Theorem (Grid Theorem)

For every integer r there is an integer k such that every graph of tree-width at least k has an $r \times r$ grid minor.

Robertson and Seymour results

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Theorem

Given a graph H , the graphs without an H minor have bounded tree-width if and only if H is planar.

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Every minor-closed graph class can be defined by a finite set of forbidden minors.

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Theorem (Graph Minor Theorem)

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Theorem

For every surface S there exists a finite set of graphs H_1, \dots, H_n such that a graph is embeddable in S if and only if it contains none of H_1, \dots, H_n as a minor.

Recap of key points - Tree-width

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

- In bounded tree-width graph classes many problems which are generally hard become 'easy' (i.e. an algorithm exists)
- Tree-width measures how 'tree-like' a graph is
- Graph classes with 'large' tree-width contain a 'large' grid minor.
- For minor-closed graph classes, a class without a graph H minor has bounded tree-width if and only if H is planar.
- If a class \mathcal{C} contains graphs of unbounded average degree (dense) then it has unbounded tree-width.

4. Clique-width in dense hereditary classes

Origins of clique-width

Clique-width

- 1990s: Introduced by Courcelle, Engelfreit and Rozenberg.
- A generalisation of tree-width that can deal with dense graphs.
- Also MIM-width, MM-width, module-width, NLC-width, path-width, rank-width and others.

Clique-width - Building a graph

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

Clique-width - Building a graph

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

Set of labels Σ . You have 4 operations to build a labelled graph:

1. **Create** a new vertex with a label $i \in \Sigma$.
2. **Join** all vertices labelled i to all labelled j , where $i, j \in \Sigma, i \neq j$.
3. **Relabel** every vertex labelled i with j .
4. **Disjoint union** of two previously-constructed graphs.

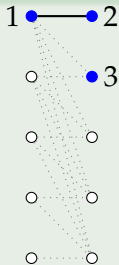
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Example (Building a chain graph)



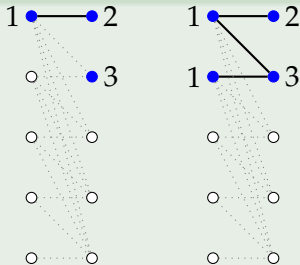
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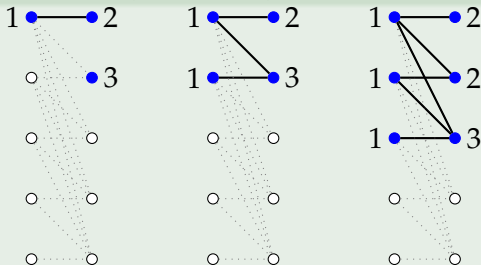
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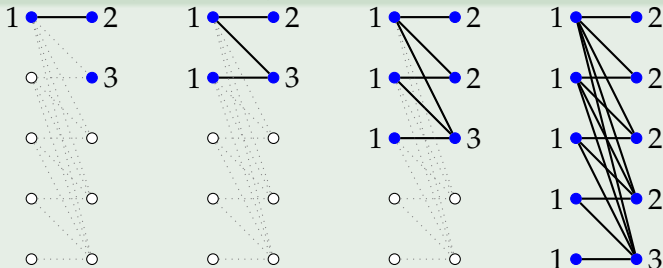
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- **Clique-width**, $cw(G) =$ size of smallest Σ needed to build G .
- Clique-width of a class \mathcal{C}

$$cw(\mathcal{C}) = \max_{G \in \mathcal{C}} cw(G)$$

if this exists.

Tree-width v clique-width

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

Graph class	Tree-width	Clique-width
Forest	1	≤ 3
$(n \times n)$ -grid ($n \geq 3$)	n	$n + 1$
K_n	$n - 1$	≤ 3

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$$H \leq_I G \Rightarrow cw(H) \leq cw(G)$$

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- There are more decision problems that becomes easy on graphs of bounded tree-width than become easy on graphs of bounded clique-width.
- There are more classes of bounded clique-width than bounded tree-width.

What is a minimal class?

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

- A **minimal class**: a hereditary class of graphs of unbounded clique-width that has no proper subclass of unbounded clique-width.
- A **proper subclass** : Hereditary class \mathcal{D} is a proper subclass of hereditary class \mathcal{C} if there exists a non-trivial forbidden graph F which is in \mathcal{C} but not in \mathcal{D} .

Minimal classes found prior to 2020

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

- **Bipartite permutations** and **unit interval** graphs [Lozin, 2011]
- **Split permutation** graphs and **bichain** graphs [Atminas, Brignall, Lozin, Stacho, 2015]
- A countably infinite collection of minimal classes, built on an infinite grid and an infinite binary word α (to be explained) [Collins, Foniok, Korpelainen, Lozin, Zamaraev, 2017]

A framework for dense hereditary graph classes



Minimal hereditary classes of graphs of unbounded tree-width and clique-width

Why set out to build a framework for dense hereditary graph classes?

A framework for dense hereditary graph classes



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Why set out to build a framework for dense hereditary graph classes?

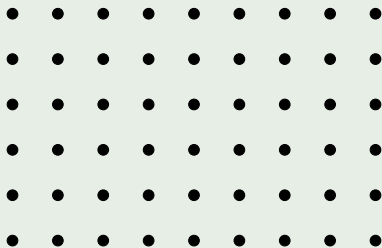
- To include all minimal classes in one model.
- To identify many new such classes that fit the same model.
- To identify the characteristics that minimal classes have in common.

A framework for dense hereditary graph classes

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

- Built on an infinite grid of vertices \mathfrak{P} creating an infinite graph \mathfrak{P}^δ .
- $\delta = (\alpha, \beta, \gamma)$ is a triple of objects that define the edges between consecutive columns, edges between non-consecutive columns (called **bonds**), and edges within columns.
- The hereditary graph class \mathfrak{G}^δ is the set of all finite induced subgraphs of \mathfrak{P}^δ .

Example (Vertex grid \mathfrak{P})



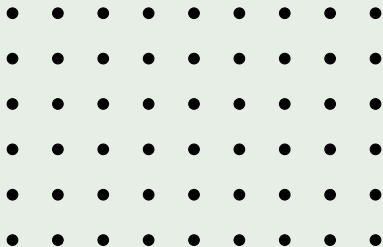
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α is an infinite word from the alphabet $\{0, 1, 2, 3\}$ that defines edges between consecutive columns of the grid.

[A matching (0), the complement of a matching (1), a chain (2) and the complement of a chain (3)].

Example ($\alpha = 01230123 \dots$)



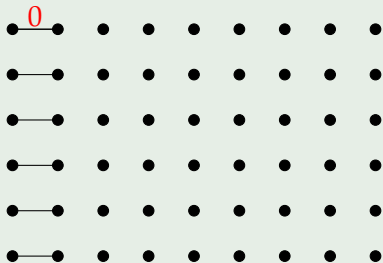
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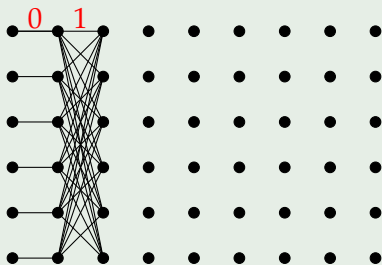
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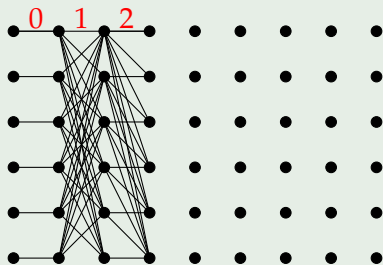
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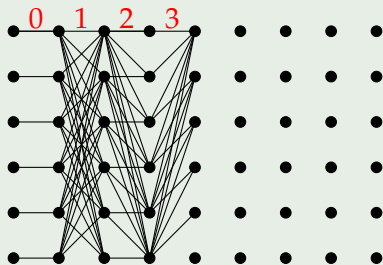
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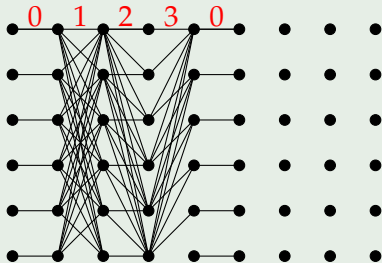
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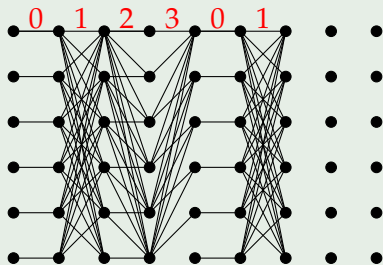
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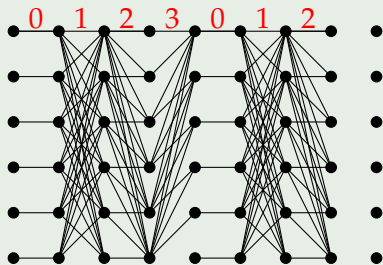
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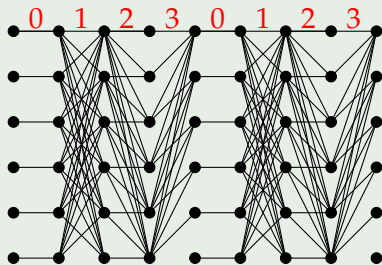
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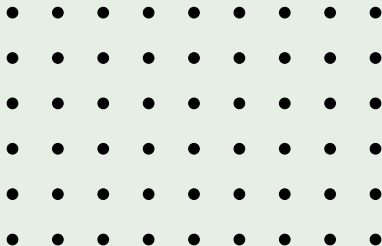
β is a symmetric subset of pairs of natural numbers (x, y) . If $(x, y) \in \beta$ then every vertex in column x is adjacent to every vertex in column y . These edges between non-consecutive columns are called **bonds**.

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Example $(\beta = \{(1, 3), (4, 9) \dots\})$

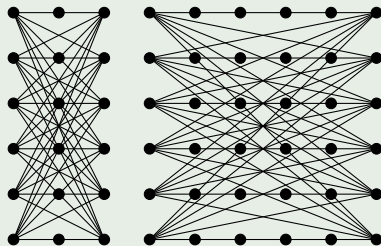


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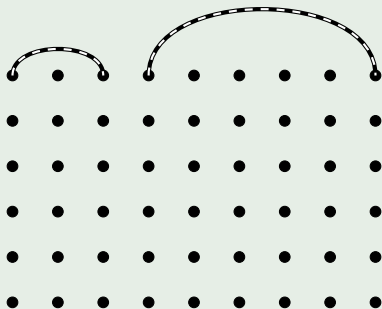


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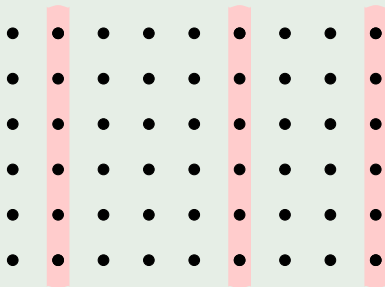
γ is an infinite binary word. If the j -th letter of γ is 0 then vertices in column j form an **independent set** and if it is 1 they form a **clique**.

A framework for dense hereditary graph classes

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Example ($\gamma = 010001001 \dots$)

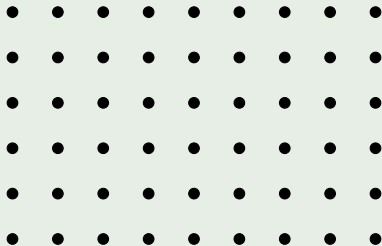


A framework for dense hereditary graph classes

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

Combining α , β and γ edges ...

Example ($\delta = (\alpha, \beta, \gamma)$)

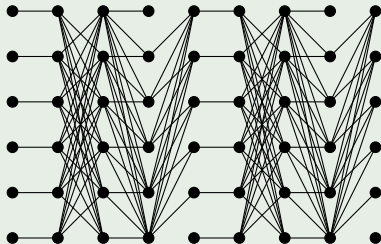


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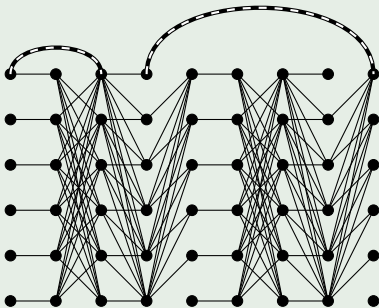


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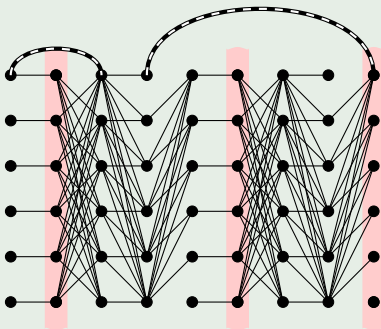


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Combining α , β and γ edges ...

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When does \mathfrak{G}^δ have unbounded clique-width?

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

Approach to proof:

- Choose a sequence of graphs $\{G_n\} \in \mathfrak{G}^\delta$, typically an $(n \times n)$ square grid.
- Use **Ramsey theory** to prove there must exist a stage in construction of G_n such that the number of labels required to complete construction is greater than some $f(n) \rightarrow \infty$ as $n \rightarrow \infty$ so that clique-width is unbounded.

Let \mathcal{N}^δ be the number of different neighbourhoods between two rows of \mathfrak{P}^δ .

Theorem (Brignall and C., 2022)

For any triple $\delta = (\alpha, \beta, \gamma)$ the hereditary class \mathfrak{G}^δ has unbounded clique-width if and only if \mathcal{N}^δ is unbounded.

When is \mathcal{G}^δ a minimal class?

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

For an infinite word ω :

- A **factor** of ω is a finite sequence of consecutive letters ω^* .
- ω is **recurrent** if each of its factors occurs in it infinitely many times.
- ω is **almost periodic** if for each factor ω^* of ω there exists a constant $\mathfrak{L}(\omega^*)$ such that every factor of ω of length at least $\mathfrak{L}(\omega^*)$ contains ω^* as a factor.
- Periodic \Rightarrow almost periodic \Rightarrow recurrent.

The same terms can be applied to δ -factors of δ -triples.

When is \mathcal{G}^δ a minimal class?

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

We define a parameter \mathcal{M}^β determined only by the bond set β that measures the number of distinct neighbourhoods between two disjoint intervals of a single row of \mathfrak{P}^δ .

Theorem (Brignall and C., 2022)

A hereditary graph class \mathcal{G}^δ that has unbounded clique-width is also minimal if

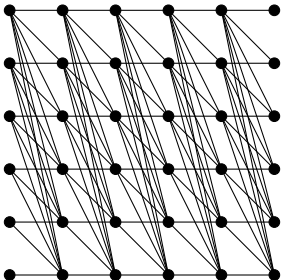
- 1. δ is recurrent,*
- 2. for any factor δ^* of δ , the subgraphs induced on the columns between two consecutive copies of δ^* (the δ -factor 'gap') have bounded clique-width, and*
- 3. \mathcal{M}^β is bounded.*

[1. and 2. are both satisfied for almost periodic δ]

Simple example

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

Bipartite permutations are defined by $\delta = (2^\infty, \emptyset, 0^\infty)$ where $n^\infty = nnn \dots$.



Easy to see:

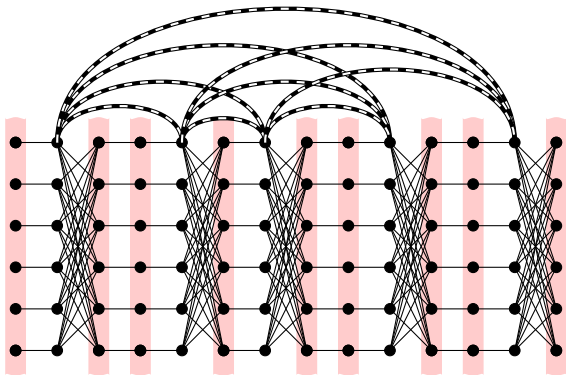
1. \mathcal{N}^δ is unbounded and therefore clique-width is unbounded.
2. δ is periodic and therefore recurrent.
3. \mathcal{M}^β is bounded and therefore \mathfrak{G}^δ is a minimal class.

More complex example

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

The **Fibonacci word** is binary almost periodic and is generated by the substitution $0 \rightarrow 01, 1 \rightarrow 0$ (or $S_n = S_{n-1}S_{n-2}$), e.g. $S_5 = 0100101001001$.

Let $\delta = (\alpha, \beta, \gamma)$ be a triple such that α is the Fibonacci word, β connects columns i and j when $\alpha_i = \alpha_j = 1$ and γ puts a clique on column i if $\alpha_i = 0$.



Uncountably many

Minimal hereditary classes of graphs of unbounded tree-width and clique-width

The Fibonacci word is an example of a **Sturmian word**.

Sturmian words are:

- Almost periodic binary.
- Uncountably many that are not *locally isomorphic* (have the same factors).

Lemma (Brignall and C., 2021)

If $\delta_1 = (\alpha_1, \emptyset, 0^\infty)$ and $\delta_2 = (\alpha_2, \emptyset, 0^\infty)$ where α_1 and α_2 are Sturmian words that are not locally isomorphic then the hereditary classes \mathfrak{G}^{δ_1} and \mathfrak{G}^{δ_2} are different minimal classes of unbounded clique-width.

→ uncountably many minimal classes.

Recap of key points - Clique-width

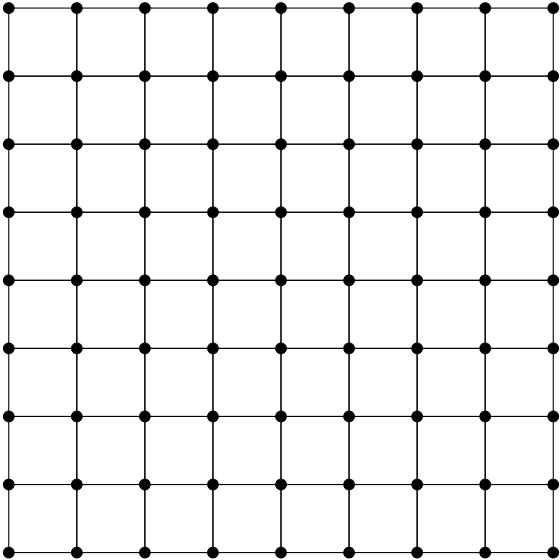
Minimal hereditary classes of graphs of unbounded tree-width and clique-width

- In bounded clique-width graph classes many problems which are generally hard become 'easy' (i.e. an algorithm exists)
- There exist both sparse and dense graphs of bounded clique-width.
- Uncountably many dense minimal classes have been identified.
- We have created a framework that captures all known minimal classes.
- Some necessary characteristics for minimality understood (e.g. recurrence) but they don't appear to be 'well-behaved'.

5. Tree-width and clique-width in 'sparse' hereditary classes

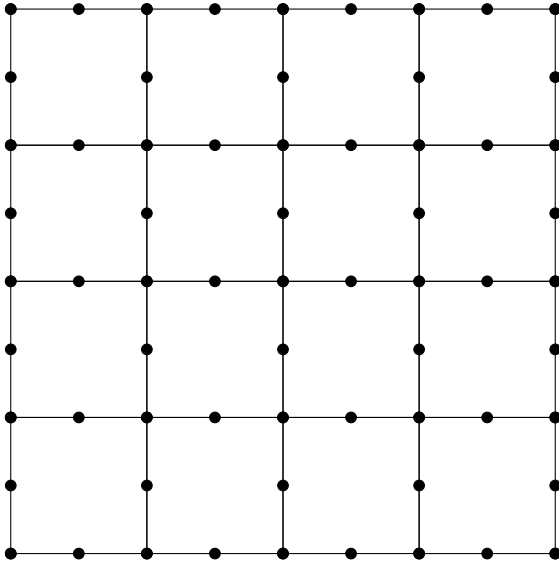
Grids and subdivisions of grids

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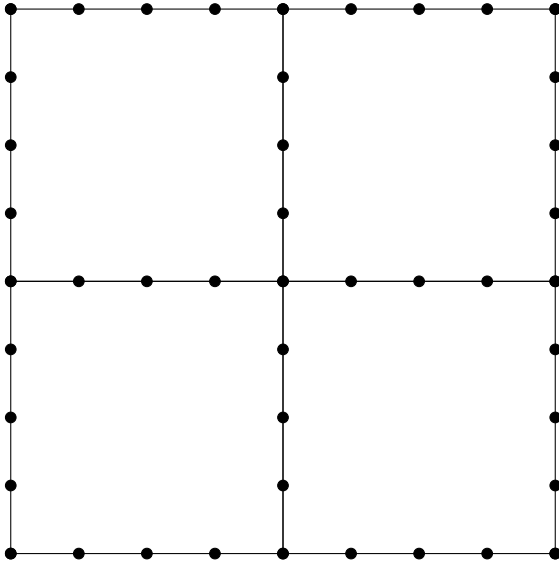
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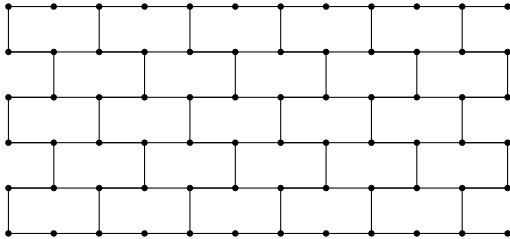
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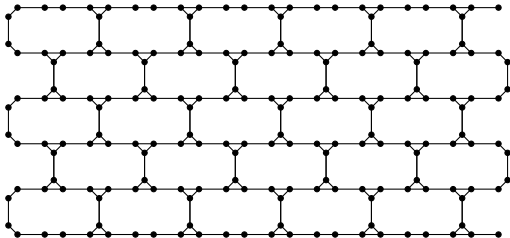
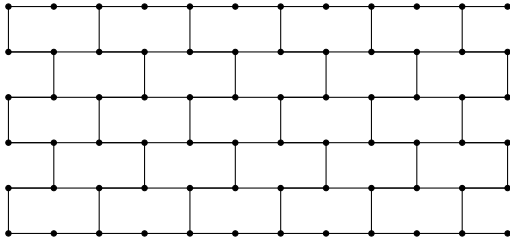
Walls and line graphs of walls

Minimal hereditary classes of graphs of unbounded tree-width and clique-width



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Thank You For Listening!