

An Introduction to Relational Complexity

Scott Hudson

19th May 2021

Overview

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Group Actions

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A reminder of what a group action is. Let G be a group. Let Ω be a set. A group action is a map $\phi : \Omega \times G \rightarrow \Omega$ that satisfies the following (the notation ω^g will be used for $(\omega, g)\phi$);

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- $\omega^{1_G} = \omega$ for all $\omega \in \Omega$
- If $g_1, g_2 \in G$ then $\omega^{g_1 g_2} = (\omega^{g_1})^{g_2}$ for all $\omega \in \Omega$

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Assume all groups and all sets being acted on are finite. Also G will always be a group and Ω a set, usually acted on by G .

Action on a Square

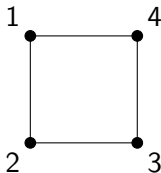
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Example

Let $\Omega = \{1, 2, 3, 4\}$ be the set of vertices of a square and let $G = D_8$, the group of order 8 consisting of reflections and rotations of the square.

These symmetries move the vertices among each other and act on Ω .

The Group of Permutations

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Let $n \in \mathbb{N}$ and let $\Omega = \{1, \dots, n\}$. The permutations of Ω form a group, S_n , known as the symmetric group.

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The group elements can be expressed in cycle notation. The group acts on Ω by group elements sending a number in Ω to the next number in a cycle.

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For example for $g = (1\ 2)(3\ 8\ 5) \in S_8$ we have $1^g = 2$ and $5^g = 3$. If a number does not appear in a cycle then it is fixed. So $7^g = 7$.

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For example for $g = (1\ 2)(3\ 8\ 5) \in S_8$ we have $1^g = 2$ and $5^g = 3$. If a number does not appear in a cycle then it is fixed. So $7^g = 7$.

An element of S_n can contain a cycle of any length up to n and the cycles can contain any numbers in any order as long as they only appear once in the cycles. This means that for any two numbers in $x, y \in \Omega$ there exists a group element that sends one to the other, such as $(x\ y)$.

Restriction to a Subgroup

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Example

Suppose G is a group acting on a set Ω . For any subgroup H of G the action of G can be restricted to H to give a new action of H on Ω .

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Suppose G is a group acting on a set Ω . For any subgroup H of G the action of G can be restricted to H to give a new action of H on Ω .

Example

The rotations of a square form a subgroup of D_8 , so it has a natural action on the set of vertices of a square.

Primitive Group Actions

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Example

Let G be a group and $H \leq G$. A right coset of H is a set $Hg = \{hg : h \in H\}$ for some $g \in G$. The set Ω of right cosets of H is a partition of G .

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An action on Ω can be defined so that for each $g_1 \in G$ we have $(Hg)^{g_1} = Hgg_1$, although usually power notation is not used here and we write $(Hg)g_1 = Hgg_1$ instead.

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If H is maximal, that is H is a proper subgroup and there is no other subgroup between H and G , then we say the action is **primitive**. Primitive group actions have properties that tend to make them easier to work with.

The Action on Tuples

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Let $n \in \mathbb{N}$ and let Ω^n be the set of n -tuples of Ω . An action on Ω^n can be defined by acting on the entries of n -tuples, i.e. $(\omega_1, \dots, \omega_n)^g = (\omega_1^g, \dots, \omega_n^g)$ for each $(\omega_1, \dots, \omega_n) \in \Omega^n$ and each $g \in G$.

What is Relational Complexity?

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What is Relational Complexity?

In short the relational complexity of a particular group action is a number that we can calculate for the action.

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What is Relational Complexity?

In short the relational complexity of a particular group action is a number that we can calculate for the action.

More definitions are needed to make this clear.

Subtuples

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Definition (Subtuple)

*Let $n \in \mathbb{N}$ and let $I \in \Omega^n$, where $I = (I_1, \dots, I_n)$. Suppose $1 \leq m \leq n$. An ***m*-subtuple** of I is an m -tuple $(I_{p_1}, \dots, I_{p_m})$ where $1 \leq p_1 < \dots < p_m \leq n$.*

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As above, whenever $I, J \in \Omega^n$, assume the entries are written as $I = (I_1, \dots, I_n)$ and $J = (J_1, \dots, J_n)$.

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As above, whenever $I, J \in \Omega^n$, assume the entries are written as $I = (I_1, \dots, I_n)$ and $J = (J_1, \dots, J_n)$.

Example

Consider $(5, 2, 3, 8) \in \mathbb{Z}^4$. A 3-subtuple of this is $(5, 3, 8)$ and another is $(5, 2, 3)$. An example of a 2-subtuple is $(2, 3)$.

Subtuple Completeness

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Definition (Subtuple Complete)

*Suppose G is a group acting on Ω . Let $I, J \in \Omega^n$. The pair (I, J) is **m -subtuple complete** if and only if for each corresponding pair of m -subtuples $(I_{p_1}, \dots, I_{p_m})$ and $(J_{p_1}, \dots, J_{p_m})$ there exists $g \in G$ such that $(I_{p_1}, \dots, I_{p_m})^g = (J_{p_1}, \dots, J_{p_m})$, that is $I_{p_i}^g = J_{p_i}$ for all $i \in \{1, \dots, m\}$.*

Subtuple Completeness

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The property of being m -subtuple complete is an equivalence relation on Ω^n . The notation $I \sim_m J$ is used to say that (I, J) is m -subtuple complete.

Subtuple Completeness

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The property of being m -subtuple complete is an equivalence relation on Ω^n . The notation $I \sim_m J$ is used to say that (I, J) is m -subtuple complete.

Notice that if $I \sim_m J$ then $I \sim_k J$ for all $1 \leq k \leq m$. This is because any corresponding pair of k -subtuples lies in a corresponding pair of m -subtuples.

D_8 Action

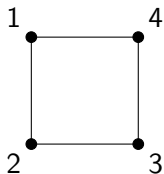
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Example

Again consider the action of D_8 on the vertices of a square, $\{1, 2, 3, 4\}$.

D_8 Action

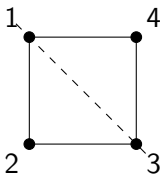
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Example

Again consider the action of D_8 on the vertices of a square, $\{1, 2, 3, 4\}$.

If $I = (1, 4, 3, 2)$ and $J = (1, 2, 3, 4)$ then $I \sim_3 J$ because the reflection in a line through vertices 1 and 3 sends the entries of I to the entries of J , so sends any 3-subtuple of I to the corresponding 3-subtuple of J

D_8 Action

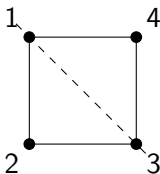
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Again consider the action of D_8 on the vertices of a square, $\{1, 2, 3, 4\}$.

If $I = (1, 4, 3, 2)$ and $J = (1, 2, 3, 4)$ then $I \sim_3 J$ because the reflection in a line through vertices 1 and 3 sends the entries of I to the entries of J , so sends any 3-subtuple of I to the corresponding 3-subtuple of J (this also shows $I \sim_4 J$ and $I \sim_2 J$).

S_6 Action

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Example

Let $\Omega = \{1, 2, \dots, 6\}$ and let $G = S_6$.

If $I = (1, 5, 3, 6)$ and $J = (2, 3, 2, 5)$ then $I \not\sim_2 J$. This is because there is no permutation that sends the 2-subtuple $(1, 3)$ of I to the corresponding 2-subtuple $(2, 2)$ of J .

Since these 2-tuples lie in 3 and 4-subtuples, it follows that $I \not\sim_3 J$ and $I \not\sim_4 J$.

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Since these 2-tuples lie in 3 and 4-subtuples, it follows that $I \not\sim_3 J$ and $I \not\sim_4 J$.

Following the same reasoning as above we can see that for any action, we have a pair of m -tuples (K, L) and $K \not\sim_k L$ for some $k \leq m$, then $K \not\sim_j L$ for all $j \in \{k, \dots, m\}$.

S_6 Action

Example

Let $\Omega = \{1, 2, \dots, 6\}$ and let $G = S_6$.

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Following the same reasoning as above we can see that for any action, we have a pair of m -tuples (K, L) and $K \not\sim_k L$ for some $k \leq m$, then $K \not\sim_j L$ for all $j \in \{k, \dots, m\}$.

But would it be the case that if $K \sim_k L$ for some $k \leq m$ then $K \sim_j L$ for all $j \in \{k, \dots, m\}$?

A_4 Action

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The action of S_4 on the set $\Omega = \{1, 2, 3, 4\}$ can be restricted to the subgroup A_4 of even permutations.

A_4 Action

The action of S_4 on the set $\Omega = \{1, 2, 3, 4\}$ can be restricted to the subgroup A_4 of even permutations. The elements of this subgroup are;

$$A_4 = \{e, (1\ 2)(3\ 4), (1\ 3)(2\ 4), (1\ 4)(2\ 3), \\ (1\ 2\ 3), (1\ 3\ 2), (1\ 2\ 4), (1\ 4\ 2), \\ (1\ 3\ 4), (1\ 4\ 3), (2\ 3\ 4), (2\ 4\ 3)\}.$$

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Let $I = (1, 2, 3)$ and $J = (1, 3, 2)$. There are three 2-subtuples of I and similarly J .

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$$(1, 2) \xrightarrow{(2\ 3\ 4)} (1, 3)$$

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Let $I = (1, 2, 3)$ and $J = (1, 3, 2)$. There are three 2-subtuples of I and similarly J . These are

$$(1, 2) \xrightarrow{(2\ 3\ 4)} (1, 3)$$

$$(1, 3) \xrightarrow{(2\ 4\ 3)} (1, 2)$$

$$(2, 3) \xrightarrow{(1\ 4)(2\ 3)} (3, 2)$$

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So $I \sim_2 J$. However $I \not\sim_3 J$. This is because any element that sends $I = (1, 2, 3)$ to $J = (1, 3, 2)$ must fix 1. The only elements that do this are e , $(2\ 3\ 4)$ and $(2\ 4\ 3)$, none of which work.

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There are 4-tuples that are also 2-subtuple complete but not 3 or 4-subtuple complete. For example $(1, 2, 3, 3)$ and $(1, 3, 2, 2)$.

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A question we can ask from here is whether there exists some k such that if a pair of m -tuples are k -subtuple complete then they are m -subtuple complete?

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This leads to the definition of relational complexity...

Relational Complexity

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Definition (Relational complexity)

*Let G be a group acting non-trivially on a finite set Ω . The **relational complexity** of this action is the least $k \in \mathbb{N}$ such that $k \geq 2$ and if the following conditions are met;*

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then $I \sim_m J$.

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Notice that the possibility of relational complexity being 1 is excluded, as well as trivial group actions. It can be shown otherwise that the relational complexity of an action is 1 if and only if the action is trivial, so it may seem strange to not allow these.

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Notice that the possibility of relational complexity being 1 is excluded, as well as trivial group actions. It can be shown otherwise that the relational complexity of an action is 1 if and only if the action is trivial, so it may seem strange to not allow these. However we will shortly see another definition that is equivalent as long as these cases are not included.

Some Basic Results

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We now look at calculating the relational complexity for an action. The following lemmas will help with this.

Some Basic Results

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We now look at calculating the relational complexity for an action. The following lemmas will help with this.

Lemma

Let G be a group acting on a finite set Ω . Let $n \in \mathbb{N}$ where $n \geq 2$. Let $I, J \in \Omega^n$ where $I = (I_1, I_2, \dots, I_n)$ and $J = (J_1, J_2, \dots, J_n)$. Suppose $I \sim_m J$ for some $m \geq 2$. Let $i, j \in \{1, \dots, n\}$. Then $I_i = I_j$ if and only if $J_i = J_j$.

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Lemma

Suppose G is a group acting on a set Ω . Let $g \in G$. Then g permutes the elements of Ω .

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We return to the A_4 action on $\Omega = \{1, 2, 3, 4\}$. Earlier it was shown that there exists $I, J \in \Omega^3$ such that $I \sim_2 J$ but $I \not\sim_3 J$. So the relational complexity is not 2.

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Let's test to see if it is 3. Let $m \geq 3$. Suppose $K, L \in \Omega^m$ and $K \sim_3 L$. It needs to be shown that $K \sim_m L$. Write these tuples as (K_1, K_2, \dots, K_m) and $L = (L_1, L_2, \dots, L_m)$.

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There are at most four distinct entries in K (and L) because $|\Omega| = 4$, with the rest being repeats.

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There are at most four distinct entries in K (and L) because $|\Omega| = 4$, with the rest being repeats.

If there are 3 or fewer distinct entries then there exists a 3-subtuple K' containing all these entries and a corresponding 3-subtuple L' . Since $K \sim_3 L$, there exists $g \in A_4$ such that $(K')^g = L'$. Using the fact repeated entries of K and L correspond, it follows that $K^g = L$.

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If there are four distinct entries in K then by the earlier lemma there are four distinct entries of L . Assume without loss of generality that these are K_1, K_2, K_3 and K_4 in K (also L_1, L_2, L_3 and L_4 in L).

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Put $K'' = (K_1, K_2, K_3)$ and $L'' = (L_1, L_2, L_3)$. Since $K \sim_3 L$, there exists $h \in A_4$ such that $(K'')^h = L''$, in particular $K_i^h = L_j$ for each $i \in \{1, 2, 3\}$.

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By one of the earlier lemmas, h permutes the elements of Ω , so the only possibility is that $K_4^h = L_4$.

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Again, the fact repeated entries of K and L correspond, it means that $K^h = L$.

So $K \sim_3 L \Rightarrow K \sim_m L$. It follows that the action has relational complexity 3.

Does the RC of an Action Exist?

We have seen an example of actions where the relational complexity can be calculated, but it hasn't been shown this is always possible.

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Similar to how the relational complexity of the A_4 action was found, there are at most n distinct entries of I and these can be placed in a n -subtuple I' . The corresponding n -subtuple J' must contain the unique entries of J by the earlier lemma on repeating entries.

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So the relational complexity of the action exists and is at most $|\Omega|$ (or at most $|\Omega| - 1$ if $|\Omega| \geq 3$).

Relational Structures

Earlier it was mentioned that there is an alternative definition of relational complexity, which comes from model theory.

Definition (Relational complexity)

*Let G be a finite group acting non-trivially on a finite set Ω . Let K be the kernel of the action. The **relational complexity** of the action is the minimum $k \in \mathbb{N}$ such that $k \geq 2$ and there exists a relational structure $\Gamma = (\Omega, R_1, \dots, R_r)$ of type k where*

- $R_i \neq \emptyset$ for some $i \in \{1, \dots, r\}$,
- Γ is homogeneous,
- When $\text{Aut}(\Gamma)$ acts naturally on Ω , the groups G/K and $\text{Aut}(\Gamma)$ are permutation isomorphic.

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This is quite difficult to work with though, which is why the other subtuple definition is used.

Origins in Model Theory

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The model theory definition is where interest in relational complexity comes from. A paper by Cherlin, Martin and Saracino (1996) is where it began to be looked at from a group theory point of view, although at that time it had only been calculated for a small number of actions. The topic was revisited in Cherlin (2000).

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In both of these papers it was known as "arity". The term relational complexity started to be used later, again by Cherlin (2016).

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In both of these papers it was known as "arity". The term relational complexity started to be used later, again by Cherlin (2016).

Ideally we want to calculate the relational complexity of all group actions, but since this is such a large undertaking it has largely been restricted to primitive group actions so far.

Current Research

I will finish by discussing some research in this area so far. Let \mathbb{F}_q be a field of order q . In earlier slides primitive actions were discussed briefly as actions on the cosets of maximal subgroups. I have been looking at primitive actions of the following groups;

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$$GL_2(q) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{F}_q, ad - bc \neq 0 \right\}$$

and

$$SL_2(q) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{F}_q, ad - bc = 1 \right\}.$$

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$$SL_2(q) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} : a, b, c, d \in \mathbb{F}_q, ad - bc = 1 \right\}.$$

The maximal subgroups of $SL_2(q)$ fall into six different classes and for $GL_2(q)$ there are five classes of maximal subgroups. The subgroups in $GL_2(q)$ are related to those in $SL_2(q)$, which means they can generally be dealt with together.

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In a paper by Gill, Hunt, Spiga (2019) it is shown that there is a lower bound of 3 for the relational complexity of the actions on these maximal subgroups whenever $q > 9$. All other cases, where $q \leq 9$ can be found using GAP and this has been done by Joshua Wiscons, who has provided a list for all primitive actions on sets of size at most 100 (for any group).

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I have been working through each of these classes of actions to find exact answers and shown that for three of the classes in both $SL_2(q)$ and $GL_2(q)$, the relational complexity is 3 for all but a small number of special cases.

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The remaining actions are still to be completed, but from the work done by Wiscons we can see that the relational complexity is not always 3 and is likely to be larger for some classes.

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It is also worth mentioning the height of a group action. For this we need to look at independent sets.

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Definition (Independent Set)

*Suppose G is a group acting on a set Ω . A non-empty set $\Delta \subseteq \Omega$ is an **independent set** if for any proper non-empty subset $\Gamma \subset \Delta$ we have $G_{(\Delta)} \neq G_{(\Gamma)}$. Any set of size 1 is always defined to be independent.*

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Definition (Height)

The **height** of an action is the size of the largest independent set, denoted as $Ht(G, \Omega)$.

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It can be shown that $RC(G, \Omega) \leq Ht(G, \Omega) + 1$. So it is of interest to find the height of group actions, which I am also doing for the actions of $SL_2(q)$ and $GL_2(q)$.

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If you are familiar with the notion of a base of a group then note that the height of an action is at least as large as the size of the largest minimal base of the action.